

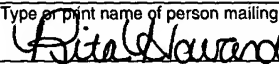


PATENT: 06213 USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLI-
CATION OF : Caroline Sassano Slone, et al.
SERIAL NO. : 10/061,898 : GRP. ART UNIT: 1712
FILED : 1 February 2002 : EXAMINER: Daniel S. Metzmaier
FOR : ALKYL GLYCIDYL ETHER-CAPPED DIAMINE FOAM CONTROLLING
AGENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(c)

The information disclosure statement transmitted herewith is being filed **after** the period specified in § 1.97(b) but **before** the mailing date any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is

- ☒ a statement as specified in 35 C.F.R. 1.97(e)
or
☐ the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,



Michael Leach
Attorney for Applicant(s)
Registration No. 27,349

7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8519



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STATEMENT FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(e)

This statement is being made for the Information Disclosure statement

☒ accompanying this statement.

or

☐ filed on .

I, the person(s) signing below, state:

☒ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1).

or

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this statement is the attorney/agent who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the attorney's file.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael Leach", written in a cursive style.

Michael Leach
Attorney for Applicant(s)
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(610) 481-8519

FORM PTO-1449

(Modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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SERIAL NO.

10/061,898

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Caroline Sassano Slon , et al.

FILING DATE

1 February 2002

GROUP

1712

U.S. PATENT DOCUMENTS

EXAM- INER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3	9	3	1	4	3	0		1/6/1976	T. Tada, et al.	428	195	11/7/1973
	4	6	5	0	0	0	0		3/17/1987	E. M. Andreasson, et al.	166	307	11/6/1985
	4	3	5	3	7	1	1		10/12/1982	M. E. Childs	44	63	9/14/1981

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
CH	3	1	3	1	5	9				Switzerland				X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		European Search Report, Application No. 03001877.4-2113 dated 7/10/03.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.